

REPORT OF REQUEST FOR RESTRICTIVE TRADE PRACTICE OR BOYCOTT
MULTIPLE TRANSACTIONS (Sheet No. 1)

(For reporting requests described in 769 of the Export Administration Regulations)

NOTICE OF RIGHT TO PROTECT CERTAIN INFORMATION FROM DISCLOSURE.

The Export Administration Act permits you to protect from public disclosure information regarding the quantity, description, and value of the commodities or technical data supplied in Item 9 of this report and in any accompanying documents. *If you do not claim this protection, all of the information in your report and in accompanying documents will be made available for public inspection and copying.* You can obtain this protection by certifying, in Item 5 of the report, that disclosure of the information regarding the quantity, description and value of the commodities or technical data referred to above would place a United States company or individual involved in the report at a competitive disadvantage. If you make such a certification in Item 5, you may remove information regarding the quantity, description, and value of the commodities or technical data supplied by you from Item 9 of the public inspection copy of the report form and from the public inspection copies of the accompanying documents. The withholding of this information will be honored by the Department unless the Secretary determines that disclosure of the information would not place a United States company or individual at a competitive disadvantage or that it would be contrary to the national interest to withhold the information.

THIS SPACE FOR BXA USE

782151
A 1 2 3 4 5
BATCH 69 MS
MONTH/YEAR 10 97
6 9

This report is required by law (50 U.S.C. App. §2403-1a(b); P.L. 95-52; E.O. 12002; 15 CFR Part 769). Failure to report can result both in criminal penalties, including fines or imprisonment, and administrative sanctions.

INSTRUCTIONS: 1. This form may not include a transaction report that is filed late, nor indicate a decision on request other than those coded in Item 4 below. 2. This form may be used to report on behalf of another United States person if all transactions apply to the person identified in Item 2, but may not be considered as a dual report on behalf of both persons identified in Item 1a and Item 2. 3. Limit each report to 75 transactions or less. 4. Attach as many continuation sheets as needed. Enter sheet number and name of reporting firm on each continuation sheet (starting with Sheet No 2). 5. List each transaction across the continuation sheet, completing all items that apply. Use as many lines as necessary but separate transactions with a blank space or line. 6. Assemble original report form and accompanying documents as a unit, and submit intact and unaltered. 7. Assemble and submit the duplicate copy of report form (marked Duplicate (Public Inspection Copy)) and additional copies of accompanying documents (marked with the legend "Public Inspection Copy.") 4. If you certify, in Item 5, that the disclosure of the information specified there would cause competitive disadvantage, edit the "Public Inspection Copy" of the documents submitted to exclude the specified information and remove the right hand portion of the Duplicate (Public Inspection Copy) of the continuation sheet(s) relating to Column 9. **MULTIPLE TRANSACTIONS:** Public reporting for this collection of information is estimated to average one hour per reported request, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Office of Security and Management Support, Bureau of Export Administration, U.S. Department of Commerce, Washington, D.C. 20230; and to the Office of Management and Budget, Paperwork Reduction Project (0694-0012), Washington, D.C. 20503.

1a. Identify firm submitting this report:

Name: Sundstrand Corporation
Address: 4949 Harrison Avenue
City, State and ZIP: Rockford, IL 61125
Country (if other than USA):
Telephone: (815) 394-5699
Firm Identification No. (if known) FIRM 1161347

Specify firm type:

- ☒ Exporter
☐ Bank
☐ Forwarder
☐ Carrier
☐ Insurer
☐ Other

1b. Check any applicable box:

- ☐ Revision of a previous report (attach two copies of the previously submitted report)
☐ Resubmission of a deficient report returned by BTR (attach form letter that was returned with deficient report)
☒ Report on behalf of the person identified in item 2

2. If you are authorized to report and are reporting on behalf of another U.S. person, identify that person (e.g., domestic subsidiary, controlled foreign subsidiary, exporter, beneficiary):

Name: See Column 2 on the attached reporting
Address: form BXA-6051P-A.
City, State and ZIP:
Country (if other than USA):
Type of firm: (see list in item 1a)

3. REQUESTING DOCUMENT CODES (use to code Column 6 of continuation sheet)

- C Request to carrier for blacklist certificate (submit two copies of blacklist certificate or transcript of request)
U Unwritten, not otherwise provided for (make transcript of request and submit two copies)
L Letter of credit
R Requisition/purchase order/accepted contract/ shipping instruction
B Bid invitation/tender/proposal/trade opportunity
Q Questionnaire (not related to a particular dollar value transaction)
9 Other written

Submit two copies of each document or relevant page in which the request appears.

4. DECISION ON REQUEST CODES (use to code Column 7 of continuation sheet)

- R Have not taken and will not take the action requested
T Have taken or will take the action requested

5. Protection of Certain Information from Disclosure: (Check appropriate boxes and sign Below)

- ☒ I (we) certify that disclosure to the public of the information regarding quantity, description, and value of the commodities or technical data contained in:
☐ Column 9 of the attached continuation sheets (If you check this box, be sure to remove column 9 from the Duplicate (Public Inspection Copy)) of the continuation sheets.
☒ Attached documents (If you check this box, be sure to edit the "Public Inspection Copy" of the documents submitted to exclude the specified information.) would place a United States person involved at a competitive disadvantage, and I (we) request that it be kept confidential.
☐ I (we) authorize public release of all information contained in the report and in any attached documents.
I (we) certify that all statements and information contained in this report are true and correct to the best of my (our) knowledge and belief.

Sign here in ink

Type or print

Nat P. Ozmon

Date 16 May 1997

Column (2) Also enter firm identification number assigned to exporting firm, if known.
(6) Use codes found on Sheet No. 1 to specify type(s) of document conveying the request.
(7) Use codes found on Sheet No.1 to indicate whether action taken or not taken.
(8) Enter reporting firm's reference number (e.g., letter of credit, customer order, invoice). This number must appear on corresponding copy of document or relevant page. Attach copies in same order as listed on continuation sheet(s).

SHEET NO.

REPORTING FIRM (Name)

FORM BXA-6051P-a
(Rev. 10-89)

U.S. DEPARTMENT OF COMMERCE
Bureau of Export Administration

REPORT OF REQUEST FOR RESTRICTIVE TRADE PRACTICE OR BOYCOTT
MULTIPLE TRANSACTIONS (Continuation Sheet)

RSN SUBSET RTP/CLASS OTHER PARTY FIN (1)	NAME AND ADDRESS OF EXPORTING FIRM INVOLVED (unless same as item 1a or item 2 on Sheet No. 1) (2)	BOYCOTTING COUNTRY (3)	BOYCOTTED COUNTRY OR COUNTRIES (4)	DATE REQUEST RECEIVED BY FIRM (month/day/year) (5)	REQUEST- ING DOCUMENT CODE (6)	DECISION ON REQUEST CODE (7)	YOUR REFERENCE NUMBER (8)	COMMODITIES OR TECHNICAL DATA (description, quality, and value to the nearest whole dollar) (9)
<p>THIS SPACE FOR BXA USE</p> <p><i>Reportable</i></p> <p>NOT REPORTABLE</p>	Liquid Metronics Inc. 8 Post Office Square Acton, MA 01720	Oman	Israel	6/29/96	L	R	MAM/960050 P.O. 1304	--Spare parts for --pumps. Value \$12,258.64.
	Liquid Metronics Inc. 8 Post Office Square Acton, MA 01720	Oman	Israel	11/22/95	L	R	MAM/950066 P.O. 1252	--Spare parts for --pumps. Value \$18,258.10.
	Liquid Metronics Inc. 8 Post Office Square Acton, MA 01720	Kuwait	Israel	7/25/95	R	R	P.O. SP/214/95	-- 6 agitators --20 pumps --Value \$5,392.00
	Liquid Metronics Inc. 8 Post Office Square Acton, MA 01720	Pakistan	Israel South Africa	4/16/95	L	R	L.C. 0949/95	--Spare parts for --pumps. Value \$17,615.00.

(Remove stub from public inspection copy at perforation if confidentiality is requested in Sheet No. 1)



4747 HARRISON AVENUE, P.O. BOX 7002 • ROCKFORD, ILLINOIS 61125-7002 • PHONE (815) 226-6000 • FAX 226-7488 • TWX 910-631-4255 • TELEX 25-7440

May 16, 1997
05970162

U.S. Department of Commerce
Bureau of Export Administration
Office of Anti-Boycott Compliance, Room 60996
Washington, DC 20230

Subject: Anti-Boycott Report

Reference: 15 CFR Part 769

Dear Sir:

Sundstrand Corporation recently discovered that one of its operating units had overlooked the receipt of several boycott requests. Sundstrand Corporation, during an internal audit of Liquid Metronics Incorporated (LMI) located in Acton, Massachusetts, found four boycott requests that the operating unit had overlooked and thus were not reported to the Office of Anti-Boycott Compliance within the reporting period.

Please find enclosed Sundstrand Corporation Anti-Boycott Report (BXA-6051P) which has been prepared and submitted to report the above mentioned boycott requests received by LMI. Neither Sundstrand nor LMI have complied with any of these requests, nor do they have any intention of complying with any of the reported requests.

In addition, it should be noted that the above was discovered and voluntarily reported as the result of Sundstrand's internal compliance program. Thus, the discovery of the boycott requests shown on the attached reports reflect a properly operating internal control plan at Sundstrand and a concerted effort by Sundstrand to comply with the reporting requirements of the anti-boycott regulations.


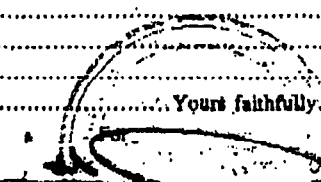
Should you have any questions or need for additional information concerning this submittal, please do not hesitate to call me at (815) 394-5699.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Nat P. Ozmon', with a stylized flourish extending from the end.

Nat P. Ozmon
International Regulatory Specialist
Sundstrand Aerospace

Enclosures

 بنك عمان الدولي Oman International Bank S.A.O.		P. O. Box :		IRREVOCABLE DOCUMENTARY CREDIT		NUMBER (FOR BANK USE) MAM / 460050	
Date : _____		Telex : Tel. :		Date and place of expiry 30.09.96			
				Shipment / Despatch not later than 31.08.96			
APPLICANT Oman Water Treatment Co. SAOC P.O. Box 93, Rusayl Postal Code: 124 Sultanate of Oman				BENEFICIARY LMI Milton Roy Post Office Square Acton MA-D1720 USA			
ADVISING BANK (FOR BANK USE ONLY)				AMOUNT US\$ 12258.64 (US Dollar Twelve thousand two hundred fifty eight & cents sixty four only) XXXXXXXXXX CandF / XXXX			
Partial Shipments <input type="checkbox"/> allowed <input checked="" type="checkbox"/> not allowed		Transshipment <input checked="" type="checkbox"/> allowed <input type="checkbox"/> not allowed		Documents to be presented within <u>21</u> days from the date of issuance of the shipping document(s) but within the validity of the credit.			
Shipment / despatch / taking delivery from / at any Airport in USA for transportation to XXXXXXXXXX CandF / XXXX Seeb International Airport, Muscat							
Dear Sirs,							
Please issue and advise by XXXXXX cable an Irrevocable Documentary Credit to be available by Negotiation / Payment / Acceptance of beneficiary's drafts payable at <u>60 days</u> sight bearing the Clause "Drawn under Documentary Credit No. of Oman International Bank" on accompanied by the following documents or by payment against presentation of the following documents :-							
(A) Signed Commercial Invoice(s) in <u>1+2</u>							
(B) Packing List <u>3</u> Certificate of Weight Health Certificate							
(C) FULL SET CLEAN "ON BOARD" OCEAN BILLS OF LADING made out to order of Oman International Bank, P.O. Box marked "FREIGHT PAID / PAYABLE AT DESTINATION" and notify or: CONSIGNOR'S COPY OF AIR / LAND CONSIGNMENT NOTES / PARCELS RECEIPTS evidencing parcels or goods despatched to Oman International Bank, P. O. <u>559</u> , CPO Seeb, Code No. <u>111</u> , S. of Oman marked with Credit Number and marked notify <u>Oman Water Treatment Co. SAOC, PO Box 93, Rusayl, Code No. 124, Sultanate of Oman.</u>							
(D) Certificate from the shipping / air company that the ship / aircraft is not Israeli owned nor scheduled to call at an Israeli port / airport and that it is not blacklisted by the Arab League Committee.							
(E) SEPARATE CERTIFICATE OF ORIGIN (see overleaf)							
(F) CERTIFICATE issued in the name of the manufacturer or exporter showing that the goods are not of Israeli origin, have not been exported from Israel and do not contain any Israeli materials.							
(G) Insurance Policy / Cover Note for full invoice value plus 10% cover to include Marine and War Risks, Institute Strikes, and Civil Commotions Clauses and Institute Cargo Clauses (All Risks).							
COVERING <u>Supply of dosing pump with accessories as per applicant's Purchase Order No. 1304 dated 29.08.96.</u>							
Yours faithfully, 							

Copyright 1978 International Chamber of Commerce

INSTRUCTIONS :

It must bear a signed Certificate stating "We certify that this invoice is in all respects correct and true both as regards the prices and description of the goods referred to therein, and that the country of origin or manufacture of the goods is **USA**.....". The original must also be certified by a Chamber of Commerce, or other competent authority and legalised by Sultanate of Oman Embassy or in its absence by any Arab Consulate or Embassy.

CERTIFICATE OF ORIGIN INSTRUCTIONS :

(Please follow instructions marked "X")

- ☒ Issued in English or Arabic, giving name of manufacturer or factory duly approved by Chamber of Commerce and Certified in the usually accepted manner by Sultanate of Oman Embassy or in its absence by any Arab Embassy, Legation or Consulate-if available.
- ☒ Issued in English by exporter showing manufacturers or manufacturing company duly certified by the Oman Embassy or in its absence by any Arab Embassy, Legation or Consulate if available.
- ☐ Issued in English by giving name of manufacturer or factory duly approved by China Council for the Promotion of International Trade.

SPECIAL CONDITIONS :

- (a) All charges outside Oman for the handling of this credit and negotiation of documents are payable by the beneficiaries / ourselves.
- (b) I / We authorise you to debit my / our account with the amount of margin on account of this credit viz..... % and I / We undertake to increase this sum by such amount as you may require from time to time up to 100%.
- (c) Please request your correspondent to **ADD / NOT TO ADD** their confirmation.
- (d) This application is governed by the general terms of the **CONTRACT FOR DOCUMENTARY CREDITS** signed by me / us.
- (e) I / We acknowledge that should the beneficiary refuse to pay those charges incurred in the country of negotiation, they may be waived and I / We will be responsible for their payment.
- (f) Negotiation of this Letter of Credit is **Restricted / Unrestricted**.

SPECIAL INSTRUCTIONS :

1. Insurance covered by Openers: Shipment to be advised immediately on shipment to Guardian Royal Exchange plc., PO Box:2899, Ruwi, Code No. 112, Sultanate of Oman. Fax# (00968) 797539 referring Cover Note No. OCN/93/1138. Copy of the advice to accompany the documents.
2. Correspondent Bank to add their confirmation if requested by the beneficiary. All bank charges for confirmation is to beneficiary's account.

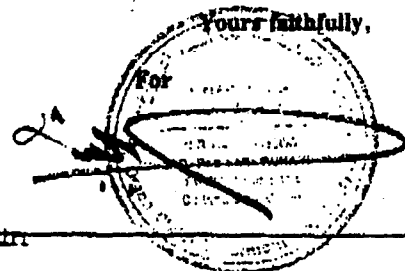
We hereby undertake to pay on presentation or accept on presentation and pay at maturity drafts / documents paid / negotiated or accepted within the validity of the credit and drawn in conformity with the foregoing terms and conditions provided they shall not exceed in aggregate the sum of aforementioned plus any agreed charges.

It is understood and agreed that you and / or your correspondent shall not be responsible for any loss or damage to the goods howsoever and wheresoever caused, their quantity, quality or condition and / or the loss, validity, genuineness or accuracy of the documents. You are authorised to make such arrangements as may be necessary for providing reimbursement to your Branch or Agent in respect of drafts or documents paid or negotiated under this credit.

We undertake that insurance including all marine and war risks will be effected on the shipment for at least the full value thereof, such insurance to be made available for your benefit by the deposit of the insurance policies or certificates duly endorsed or otherwise as may be necessary; and in case of default you are at liberty to insure the said goods; and we engage to pay the premium thereon and all other expenses incurred.

We understand that the credit is subject to Uniform Customs and Practice for Documentary Credits (1983 Revision), International Chamber of Commerce Publication No. 400).

3. Description of goods on invoice, packing list and certificate of origin should clearly mention items shipped as "DOSING PUMP WITH ACCESSORIES"
4. Invoice and certificate of origin showing full name and address of manufacturers under the heading "Manufactured by". Invoice and certificate of origin

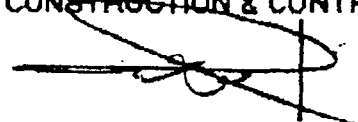


285 S / I REVERSE

must be duly certified by the Chamber of Commerce and legalised by Sultanate of Oman Embassy or any Arab Embassy or Consulate. Legalisation by any Honorary Consulate is not permissible.



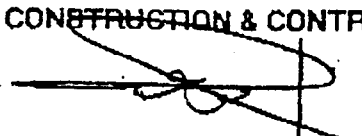
THE KUWAIT CO. FOR PROCESS PLANT
CONSTRUCTION & CONTRACTING, K.S.C.
P.O.BOX. 1092 SAFAT-13011 KUWAIT
TEL : 2466650 FAX : 2451410

ORDER NO. SP/214/95		DATE : 25/07/95	DATE OF DELIVERY : 2 - 3 WEEKS	
SUPPLIER : LIQUID METRONICS INC. 8 POST OFFICE SQUARE, ACTON, MA 01720 U.S.A.		CONSIGNEE : THE KUWAIT CO. FOR PROCESS PLANT CONSTRUCTION & CONTRACTING K.S.C. P.O.BOX. 1092, SAFAT -13011, KUWAIT.		
YOUR REF.: DATED 13.07.95		SHIPPING MARKS : SP/214/95 KCPC - KUWAIT		
MEANS OF TRANSPORT : SEA FREIGHT		INSURANCE BY : YOURSELVES		
FORWARD THROUGH : YOURSELVES				
TERMS OF PAYMENT : CASH IN ADVANCE VIA WIRE TRANSFER				
SHIPMENT TERMS : PARTIAL SHIPMENT NOT ALLOWED (IF ANY MATERIAL NOT SHIPPED ACCORDING TO OUR ORDER, THE BALANCE SHIPMENT CHARGES WILL BE FOR YOUR ACCOUNT.				
DOCUMENTS : COMMERCIAL INVOICE LEGALIZED (3) ; COPIES (3) ; ISRAELI BOYCOTT CERTIFICATE (3) ; PACKING LIST (3) ; CERTIFICATE OF ORIGIN (3) ; BILL OF LADING (3)				
QTY MTONS	MODEL NO.	DESCRIPTION	UNIT	TOTAL
6	25290	AGITATOR, 230V, 34" S.S	164.50	987.00
20	P065-161S	PUMP (REPLACES J065-191S)	189.00	3 780.00
		APPROX. AIR FREIGHT AND INS. CHARGES	625.00	625.00
		(US\$ FIVE THOUSAND THREE HUNDRED) NINETY TWO ONLY		5 392.00
		<p>THE KUWAIT CO. FOR PROCESS PLANT CONSTRUCTION & CONTRACTING, K.S.C.</p> <p></p> <p>GENERAL MANAGER</p>		

عبد الله بن بيطار
ABBAS ALI BITAR



THE KUWAIT CO. FOR PROCESS PLANT
CONSTRUCTION & CONTRACTING, K.S.C.
P.O.BOX. 1092 SAFAT-13011 KUWAIT
TEL : 2466650 FAX : 2451410

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SUPPLIER : LIQUID METRONICS INC. 8 POST OFFICE SQUARE, ACTON, MA 01720 U.S.A.		CONSIGNEE : THE KUWAIT CO. FOR PROCESS PLANT CONSTRUCTION & CONTRACTING K.S.C., P.O.BOX. 1092, SAFAT -13011, KUWAIT.		
YOUR REF.: DATED 13.07.95		SHIPPING MARKS : SP/214/95 KCPC - KUWAIT		
MEANS OF TRANSPORT : SEA FREIGHT INSURANCE BY : YOURSELVES FORWARD THROUGH : YOURSELVES TERMS OF PAYMENT : CASH IN ADVANCE VIA WIRE TRANSFER SHIPMENT TERMS : PARTIAL SHIPMENT NOT ALLOWED (IF ANY MATERIAL NOT SHIPPED ACCORDING TO OUR ORDER, THE BALANCE SHIPMENT CHARGES WILL BE FOR YOUR ACCOUNT. DOCUMENTS : COMMERCIAL INVOICE LEGALIZED (3) : COPIES (3) PACKING LIST (3) : CERTIFICATE OF ORIGIN (3); BILL OF LADING (3)				
QTY MTONS	MODEL NO.	DESCRIPTION	UNIT	TOTAL
6	25290	AGITATOR, 230V, 34" S.S	164.50	987.00
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		(US\$ FIVE THOUSAND THREE HUNDRED) NINETY TWO ONLY		
		THE KUWAIT CO. FOR PROCESS PLANT CONSTRUCTION & CONTRACTING, K.S.C.		
		 GENERAL MANAGER		

عبدالله بن عيسى
ABBAS ALI BITAR

The Kuwait Company For
Process Plant Construction & Contracting k.s.c.



الشركة الكويتية
لبناء المعدات والمقاولات ش.م.ك.

Date : 01/08/95
Ref : SP/1812/95

M/s. Liquid Metronics Inc.
U.S.A.
Attn : Mr. David Coullard

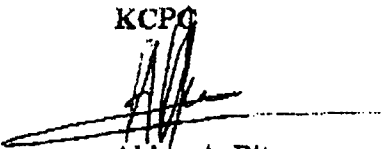
Dear Sir,

Thank you for your fax dated July 31, 1995. Please find enclosed our revised order removing the "ISRAELI BOYCOTT CERTIFICATE".

Our address: The Kuwait Company For Process Plant
Construction & Contrating k.s.c.
Ahmed Al Jaber Street
Musaed Al Saleh Building
Next to Warba Insurance
Safat, Kuwait.

We will wire transfer the total amount as per the banking information, kindly expedite the shipment.

Thanks and best regards,
KCPC


Abbas A. Bltar
Manager
Water Treatment / Filtration Dept.

مستودع البريد: ١٠٩٢ - الصفاة - 13011 - الكويت - هاتف : ٢٤٦٦٦٥٠ - فاكس : ٢٤٥١٤١١ - ٢٤٥١٤١٠ - برقية : FAWZI الكويت
P. O. Box: 1092 SAFAT - 13011 - KUWAIT - Tel: 2466650 - Fax : 2451411, 2451410 - Cable : FAWZI KUWAIT